

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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UNITED STATES OF AMERICA

v.

DANIEL BONVENTRE,  
ANNETTE BONGIORNO,  
JOANN CRUPI, a/k/a “Jodi,”  
JEROME O’HARA, and  
GEORGE PEREZ,

**S10 10 Cr. 228 (LTS)**

Defendants. :

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**VERDICT FORM**

**Count One**

1. With respect to Count One, which charges all five of the Defendants with participating in a criminal conspiracy to defraud the investment advisory clients of Madoff Securities, how do you find each of the Defendants?

a. DANIEL BONVENTRE

Guilty \_\_\_\_ Not Guilty \_\_\_\_

b. ANNETTE BONGIORNO

Guilty \_\_\_\_ Not Guilty \_\_\_\_

c. JO ANN CRUPI

Guilty \_\_\_\_ Not Guilty \_\_\_\_

d. JEROME O’HARA

Guilty \_\_\_\_ Not Guilty \_\_\_\_

e. GEORGE PEREZ

Guilty \_\_\_\_ Not Guilty \_\_\_\_

**Count Two**

2. With respect to Count Two, which charges Defendants DANIEL BONVENTRE, JO ANN CRUPI, JEROME O'HARA and GEORGE PEREZ with participating in a criminal conspiracy involving securities fraud and falsification of books and records in connection with audits of Madoff Securities, how do you find each of the Defendants?

a. DANIEL BONVENTRE

Guilty \_\_\_\_ Not Guilty \_\_\_\_

b. JO ANN CRUPI

Guilty \_\_\_\_ Not Guilty \_\_\_\_

c. JEROME O'HARA

Guilty \_\_\_\_ Not Guilty \_\_\_\_

d. GEORGE PEREZ

Guilty \_\_\_\_ Not Guilty \_\_\_\_

**Count Three**

3. With respect to Count Three, which charges Defendant DANIEL BONVENTRE with participating in a criminal conspiracy at Madoff Securities to engage in accounting fraud and to defraud banks, how do you find the Defendant?

DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Four**

4. With respect to Count Four, which charges Defendant DANIEL BONVENTRE with participating in a criminal conspiracy to commit tax fraud at Madoff Securities in connection with IRS audits of Bernard Madoff's taxes, how do you find the Defendant?

DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Five [NO VERDICT REQUESTED]**

**Count Six**

6. With respect to Count Six, which charges all five of the Defendants with securities fraud in connection with Madoff Securities investment advisory clients, how do you find each of the Defendants?

a. DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

b. ANNETTE BONGIORNO

Guilty\_\_\_\_ Not Guilty\_\_\_\_

c. JO ANN CRUPI

Guilty\_\_\_\_ Not Guilty\_\_\_\_

d. JEROME O'HARA

Guilty\_\_\_\_ Not Guilty\_\_\_\_

e. GEORGE PEREZ

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Seven**

7. With respect to Count Seven, which charges Defendants DANIEL BONVENTRE, JO ANN CRUPI, JEROME O'HARA and GEORGE PEREZ with securities fraud in connection with audits at Madoff Securities, how do you find each of the Defendants?

a. DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

b. JO ANN CRUPI

Guilty\_\_\_\_ Not Guilty\_\_\_\_

c. JEROME O'HARA

Guilty\_\_\_\_ Not Guilty\_\_\_\_

d. GEORGE PEREZ

Guilty\_\_\_

Not Guilty\_\_\_

**Count Eight**

8. With respect to Count Eight, which charges Defendant DANIEL BONVENTRE with securities fraud in connection with Madoff Securities' accounting practices, how do you find the Defendant?

DANIEL BONVENTRE

Guilty\_\_\_

Not Guilty\_\_\_

**Count Nine**

9. With respect to Count Nine, which charges all five of the Defendants with falsifying the books and records of a broker-dealer, in connection with fraudulent trading documents, how do you find each of the Defendants?

a. DANIEL BONVENTRE

Guilty\_\_\_

Not Guilty\_\_\_

b. ANNETTE BONGIORNO

Guilty\_\_\_

Not Guilty\_\_\_

c. JO ANN CRUPI

Guilty\_\_\_

Not Guilty\_\_\_

d. JEROME O'HARA

Guilty\_\_\_\_ Not Guilty\_\_\_\_

e. GEORGE PEREZ

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Ten**

10. With respect to Count Ten, which charges Defendants DANIEL BONVENTRE, JO ANN CRUPI, JEROME O'HARA and GEORGE PEREZ with falsifying the books and records of a broker-dealer in connection with certain audits, how do you find each of the Defendants?

a. DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

b. JO ANN CRUPI

Guilty\_\_\_\_ Not Guilty\_\_\_\_

c. JEROME O'HARA

Guilty\_\_\_\_ Not Guilty\_\_\_\_

d. GEORGE PEREZ

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Eleven**

11. With respect to Count Eleven, which charges Defendant DANIEL BONVENTRE with falsifying the books and records of a broker-dealer in connection with fraudulent accounting documents, how do you find the Defendant?

a. DANIEL BONVENTRE

Guilty\_\_\_

Not Guilty\_\_\_

**Count Twelve**

12. With respect to Count Twelve, which charges all five of the Defendants with falsifying the records of an investment advisor by creating fraudulent trading documents, how do you find each of the Defendants?

a. DANIEL BONVENTRE

Guilty\_\_\_

Not Guilty\_\_\_

b. ANNETTE BONGIORNO

Guilty\_\_\_

Not Guilty\_\_\_

c. JO ANN CRUPI

Guilty\_\_\_

Not Guilty\_\_\_

d. JEROME O'HARA

Guilty\_\_\_

Not Guilty\_\_\_

e. GEORGE PEREZ

Guilty\_\_\_

Not Guilty\_\_\_

**Count Thirteen**

13. With respect to Count Thirteen, which charges Defendants DANIEL BONVENTRE, JO ANN CRUPI, JEROME O'HARA and GEORGE PEREZ with falsifying the records of an investment adviser by creating fraudulent trading documents, how do you find each of the Defendants?

a. DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

b. JO ANN CRUPI

Guilty\_\_\_\_ Not Guilty\_\_\_\_

c. JEROME O'HARA

Guilty\_\_\_\_ Not Guilty\_\_\_\_

d. GEORGE PEREZ

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Fourteen**

14. With respect to Count Fourteen, which charges Defendant DANIEL BONVENTRE with falsifying the books and records of an investment adviser in connection with fraudulent accounting documents, how do you find the Defendant?

DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_



**Count Fifteen**

15. With respect to Count Fifteen, which charges Defendant DANIEL BONVENTRE with causing a false and misleading filing to be made with the SEC, how do you find the Defendant?

DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Sixteen**

16. With respect to Count Sixteen, which charges Defendant JO ANN CRUPI with participating in a criminal conspiracy to commit bank fraud in connection with loans obtained on behalf of Madoff Securities employee David Kugel, how do you find the Defendant?

JO ANN CRUPI

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Seventeen**

17. With respect to Count Seventeen, which charges Defendant JO ANN CRUPI with bank fraud in connection with loans obtained on behalf of Madoff Securities employees David Kugel and Craig Kugel, and Heather Kugel, how do you find the Defendant?

JO ANN CRUPI

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Eighteen**

18. With respect to Count Eighteen, which charges Defendant DANIEL BONVENTRE with bank fraud in connection with loans obtained on behalf of Madoff Securities, how do you find the Defendant?

DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Nineteen [NO VERDICT REQUESTED]**

**Count Twenty**

20. With respect to Count Twenty, which charges Defendant DANIEL BONVENTRE with making and subscribing to a false income tax return for the year 2003, how do you find the Defendant?

DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Twenty-One**

21. With respect to Count Twenty-One, which charges Defendant DANIEL BONVENTRE with making and subscribing to a false income tax return for the year 2004, how do you find the Defendant?

DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Twenty-Two**

22. With respect to Count Twenty-Two, which charges Defendant DANIEL BONVENTRE with making and subscribing to a false income tax return for the year 2006, how do you find the Defendant?

DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Twenty-Three**

23. With respect to Count Twenty-Three, which charges Defendant DANIEL BONVENTRE with making and subscribing to a false income tax return for the year 2007, how do you find the Defendant?

DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Twenty-Four**

24. With respect to Count Twenty-Four, which charges Defendant DANIEL BONVENTRE with corruptly obstructing the lawful administration of the Internal Revenue Laws in connection with IRS audits of Bernard Madoff's taxes, how do you find the Defendant?

DANIEL BONVENTRE

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Twenty-Five**

25. With respect to Count Twenty-Five, which charges Defendant ANNETTE BONGIORNO with tax evasion for the year 2004, how do you find the Defendant?

ANNETTE BONGIORNO

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Twenty-Six**

26. With respect to Count Twenty-Six, which charges Defendant ANNETTE BONGIORNO with tax evasion for the year 2005, how do you find the Defendant?

ANNETTE BONGIORNO

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Twenty-Seven**

27. With respect to Count Twenty-Seven, which charges Defendant ANNETTE BONGIORNO with tax evasion for the year 2006, how do you find the Defendant?

ANNETTE BONGIORNO

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Twenty-Eight**

28. With respect to Count Twenty-Eight, which charges Defendant ANNETTE BONGIORNO with tax evasion for the year 2007, how do you find the Defendant?

ANNETTE BONGIORNO

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Twenty-Nine**

29. With respect to Count Twenty-Nine, which charges Defendant ANNETTE BONGIORNO with tax evasion for the year 2008, how do you find the Defendant?

ANNETTE BONGIORNO

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Thirty**

30. With respect to Count Thirty, which charges Defendant ANNETTE BONGIORNO with corruptly obstructing the lawful administration of the Internal Revenue Laws, how do you find the Defendant?

ANNETTE BONGIORNO

Guilty\_\_\_\_ Not Guilty\_\_\_\_

**Count Thirty-One**

31. With respect to Count Thirty-One, which charges Defendant JO ANN CRUPI with tax evasion for the year 2004, how do you find the Defendant?

JO ANN CRUPI

Guilty\_\_\_\_

Not Guilty\_\_\_\_

**Count Thirty-Two**

32. With respect to Count Thirty-Two, which charges Defendant JO ANN CRUPI with tax evasion for the year 2007, how do you find the Defendant?

JO ANN CRUPI

Guilty\_\_\_\_

Not Guilty\_\_\_\_

**Count Thirty-Three**

33. With respect to Count Thirty-Three, which charges Defendant JO ANN CRUPI with tax evasion for the year 2008, how do you find the Defendant?

JO ANN CRUPI

Guilty\_\_\_\_

Not Guilty\_\_\_\_

Dated: New York, New York

March\_\_\_\_, 2014

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**Signature of Foreperson**

